

Charlotte Woodward

From: LDN-SECOPS-MB <secops@edfmancapital.com>
Sent: 23 April 2014 16:31
To: LDN-EQUITYFINANCE-REPORTING-MB
Attachments: ATT00001.bin

Trade Reference 253741

E D & F Man Capital Markets Limited
 Cottons Centre, Hay's Lane, London, SE1 2QE
 Tel 0207 089 8000

Trade Date: 23/04/2014
 Settlement Date: 29/04/2014
 Customer: The Goldstein Law Group PC 401(K) Profit Sharing Plan FBO Sheldon and Scott Goldstein
 Broker: ED&F Man Capital Markets Ltd
 Trade Type: Buy
 Sec Desc: D/S NORDEN
 ISIN: DK0060083210
 Gross Price: 223.407790
 Net Price: 223.407790
 Quantity: 215,000
 Settlement Method: DNI
 Net Cash: 48,032,674.85
 Currency: DKK
 Comments: External Sequence: EQ30946-113450 Execution Time: Wednesday April 23,2014
 12:00:00 AM Batch Id: 20140423_020274
 Commission:

All trades are conducted off-exchange. Information regarding the type of order given by you and whether we have used an affiliate to facilitate execution and/or settlement are available on request. Time Stamps are GMT

This transaction has been undertaken in accordance with E D & F Man Capital Markets Limited Terms of Business and Execution Policy. A copy of this policy is available from E D & F Man Capital Markets Limited and its affiliates, may receive monetary remuneration for directing order flow to particular broker/dealer or market centres for execution. When such remuneration is received, it is considered compensation to E D & F Man Capital Markets Limited or its affiliates. The source and amount of any compensation received will be disclosed.

Should you have any issue related to this confirm please contact our settlements Dept:

Tel: +44 (0) 20 3580 7234
 Email: secops@edfmancapital.com

E D & F Man Capital Markets Limited is authorised and regulated by the Financial Services Authority Registered in England No. 194926

Charlotte Woodward

From: LDN-SECOPS-MB <secops@edfmancapital.com>
Sent: 23 April 2014 16:48
To: LDN-EQUITYFINANCE-REPORTING-MB
Attachments: ATT00001.bin

Trade Reference 253568

E D & F Man Capital Markets Limited
 Cottons Centre, Hay's Lane, London, SE1 2QE
 Tel 0207 089 8000

Trade Date: 23/04/2014
 Customer: The Goldstein Law Group PC 401(K) Profit Sharing Plan FBO Sheldon and Scott Goldstein
 Broker: ED&F Man Capital Markets Ltd
 Customer Trade Type: Sell
 Sec Desc: NYF15MAY2014BCLEAR
 Exchange: LIFFE
 Price: 219.42
 Quantity: 2,150
 Currency: DKK
 Comments: External Sequence: EQ30943-113444 Execution Time: Wednesday April 23,2014 12:00:00 AM Batch Id: 20140423_020269
 Direct Commission: 0.00

All trades are conducted off-exchange. Information regarding the type of order given by you and whether we have used an affiliate to facilitate execution and/or settlement are available on request. Time Stamps are GMT

This transaction has been undertaken in accordance with E D & F Man Capital Markets Limited Terms of Business and Execution Policy. A copy of this policy is available from E D & F Man Capital Markets Limited and its affiliates, may receive monetary remuneration for directing order flow to particular broker/dealer or market centres for execution. When such remuneration is received, it is considered compensation to E D & F Man Capital Markets Limited or its affiliates. The source and amount of any compensation received will be disclosed.

Should you have any issue related to this confirm please contact our Settlements Dept:

Tel: +44 (0) 20 3580 7234
 Email: secops@edfmancapital.com

E D & F Man Capital Markets Limited is authorised and regulated by the Financial Services Authority Registered in England No. 194926

Charlotte Woodward

From: GOODWIN, Richard (CH Volcafe) <RGOODWIN@volcafe.ch>
Sent: 23 April 2014 10:22
To: BOTTOMLEY, Oliver (Capital Markets)
Subject: Confirm DNORD DC 860,000 T+4
Attachments: 23042014NDORDDC(MCM1).pdf

Oliver:

Volcafe Cash Equity Confirmation

Trade Date	23-Apr
Value Date	29-Apr
ED&F Man Capital Markets	BUYS
Trader	OLIVER BOTTOMLEY
Stock	D/S NORDEN
	DNORD DC Equity
ISIN	DK0060083210
Number Shares	860,000
Splits	1 x 325,000 1 x 535,000
CCY	DKK
Gross Price	223.40500
Total Notional	192,128,300.00
Net Price	223.40779
Total Net Notional	192,130,699.40
Invoice at month End	NA
Brokerage	2,399.40 DKK

Additional information: Clearing BNP #1

Volcafe Clearing ICBC

Best regards,

Richard Goodwin.



Volcafe Ltd.
Technoparkstrasse 7, CH8406, Winterthur, Switzerland
Office: +41 52 264 94 56, Mobile: +41 79 961 04 69
rgoodwin@volcafe.ch | www.volcafe.com



Volcafe Cash Equity Confirmation

Trade Date	23-Apr	Trade Time	
Value Date	29-Apr	11:09:20	
ED&F Man Capital Markets	BUYS		
Trader	OLIVER BOTTOMLEY	C.E.T	
Stock	D/S NORDEN		
	DNORD DC Equity		
ISIN	DK0060083210		
Number Shares	860,000		
Splits	1 x 325,000		
	1 x 535,000		
CCY	DKK		
Gross Price	223.40500		
Total Notional	192,128,300.00		
Net Price	223.40779		
Total Net Notional	192,130,699.40		
Invoice at month End	NA		
Brokerage	2,399.40 DKK		
Additional information:	Clearing BNP #1		
Volcafe Clearing	ICBC		

Best regards,

Richard Goodwin.

CONFIDENTIAL

Charlotte Woodward

From: GOODWIN, Richard (Volcafe) <rgoodwin@volcafe.ch>
Sent: 23 April 2014 10:21
To: Henstock, Chris (DUB)
Cc: Mina, Sara (LDN); Foster, Victoria (LDN)
Subject: Confirm DNORD DC 860,000 T+4
Attachments: 23042014NDORDDC(EDFDubai1).pdf

Chris:

Volcafe Cash Equity Confirmation

Trade Date	23-Apr
Value Date	29-Apr
ED&F MCM obo ED&F Man Professional Trading Dubai	SELLS
Trader	CHRIS HENSTOCK
Stock	D/S NORDEN
	DNORD DC Equity
ISIN	DK0060083210
Number Shares	860,000
Splits	1 x 325,000 1 x 535,000
CCY	DKK
Gross Price	223.40500
Notional	192,128,300.00
Net Price	223.40500
Net Notional	192,128,300.00
Invoice at month End	NA

Additional information: **Clearing JP Morgan #3**

Volcafe Clearing **ICBC**

Best regards,

Richard Goodwin.



Volcafe Ltd.
Technoparkstrasse 7, CH8406, Winterthur, Switzerland
Office: +41 52 264 94 56, Mobile: +41 79 961 04 69
rgoodwin@volcafe.ch | www.volcafe.com



Volcafe Cash Equity Confirmation

Trade Date	23-Apr	Trade Time
Value Date	29-Apr	11:09:20 C.E.T
ED&F MCM obo ED&F Man Professional Trading Dubai Trader	SELLS CHRIS HENSTOCK	
Stock	D/S NORDEN DNORD DC Equity DK0060083210	
ISIN		
Number Shares	860,000	
Splits	1 x 325,000 1 x 535,000	
CCY	DKK	
Gross Price	223.40500	
Notional	192,128,300.00	
Net Price	223.40500	
Net Notional	192,128,300.00	
Invoice at month End	NA	

Additional information:

Clearing JP Morgan #3

Volcafe Clearing

ICBC

Best regards,

Richard Goodwin.

CONFIDENTIAL

Charlotte Woodward

From: Schofield, Paul (LDN) <pschofield@edfmancapital.com>
Sent: 23 April 2014 10:10
To: Henstock, Chris (DUB)
Cc: Mina, Sara (LDN); Regan, Paul (LDN); Liddard, Ross (LDN); Schofield, Paul (LDN)
Subject: 8,600 NYF Bclear confirm - ED&F DUBAI

Hi,

To confirm ED&F DUBAI (ECM LEQ HI002) BUY the following:

8,600 NYF 15May2014 Bclear @ 219.42 (Ref: 223.405)

Commission: DKK 2,359

Thanks,
P

Paul Schofield

Senior Equity Finance Broker

<<http://www.derivatives-broker.com/>>

ED&F Man Capital Markets

Cottons Centre, Hays Lane, London, SE1 2QE

Direct: +44 (0)20 3580 7637

Mob: +44 (0)7810 543272

Firm	DateBasis	Sequence	ExtRefID	TradeDate	EntryDate	SettlementDate	TradeAccount	Product	AssetAlias1	Currency	Ticket	Transaction	Quantity	Proceeds	FinalPrice	Asset	MasterAccount
E1	TRD	252620	EQ30894-113322	23/04/2014	2014-04-23 00:00:00.000	29/04/2014	1020010EDFDUBEDFDU	EQUITY	DK0060083210	DKK	ORG	BUY	325,000	72606625.00	223.4050000000	DNORD DC	1020010EDFDUBEDFDU
E1	TRD	252620	EQ30894-113322	23/04/2014	2014-04-23 00:00:00.000	29/04/2014	1020035VOLCAFICBC0	EQUITY	DK0060083210	DKK	ORG	SEL	325,000	72606625.00	223.4050000000	DNORD DC	1020035VOLCAFICBC0
E1	TRD	256966	EQ30907-113360	23/04/2014	2014-04-28 00:00:00.000	29/04/2014	1020010EDFDUBEDFDU	EQUITY	DK0060083210	DKK	REP	BUY	535,000	119521675.00	223.4050000000	DNORD DC	1020010EDFDUBEDFDU
E1	TRD	256966	EQ30907-113360	23/04/2014	2014-04-28 00:00:00.000	29/04/2014	1020035VOLCAFICBC0	EQUITY	DK0060083210	DKK	REP	SEL	535,000	119521675.00	223.4050000000	DNORD DC	1020035VOLCAFICBC0
E1	TRD	256964	EQ30907-113361	23/04/2014	2014-04-28 00:00:00.000	29/04/2014	1020035VOLCAFICBC0	EQUITY	DK0060083210	DKK	REP	BUY	535,000	119523167.65	223.4077900000	DNORD DC	1020035VOLCAFICBC0
E1	TRD	256964	EQ30907-113361	23/04/2014	2014-04-28 00:00:00.000	29/04/2014	1020035VOLCAFICBC0	EQUITY	DK0060083210	DKK	ORG	BUY	325,000	72607531.75	223.4077900000	DNORD DC	1020035VOLCAFICBC0
E1	TRD	252621	EQ30894-113325	23/04/2014	2014-04-23 00:00:00.000	29/04/2014	1020035VOLCAFICBC0	EQUITY	DK0060083210	DKK	ORG	SEL	215,000	48032674.85	223.4077900000	DNORD DC	1020010GOLDSTLAWGP
E1	TRD	253742	EQ30946-113452	23/04/2014	2014-04-23 00:00:00.000	29/04/2014	1020010LINDENADBPL	EQUITY	DK0060083210	DKK	ORG	SEL	322,500	72049012.28	223.4077900000	DNORD DC	1020010LINDENADBPL
E1	TRD	253743	EQ30946-113453	23/04/2014	2014-04-23 00:00:00.000	29/04/2014	1020010RIVERSASSOC	EQUITY	DK0060083210	DKK	ORG	SEL	322,500	72049012.28	223.4077900000	DNORD DC	1020010RIVERSASSOC

SWIFT Message Detail

Message ID 3126315

其 Reti

SWIFT Information

Msg Type	545	Direction	From Swift
Status	Closed	Loc ID	N/A
Related Ref		Loc SWIFT ID	N/A
	N/A	Purpose	
Transaction Ref			N/A
PG42808Y2A001			
Asset Series		Curr Series	
291360			291360
Reason Code		External ID	
N/A			N/A
Trailer Hdr		User Hdr	
0MAC00000000CNK57C69A826106			01081S4101190FK00000
Basic Hdr		App Hdr	
F01MACVG022A0000024277134			05451245140429PARBF
Load User		Load Date	
SHS/STEM			29-04-2014 06:48:14
Load Port		Proc User	
SGX027NJ3VW07			N/A
Proc Date		Proc Port	
[NULL]			N/A
Duration		Instruction Date	
N/A			N/A
ACK Date		OATS [
[NULL]			OATS Sent [

Details (38)

THEOLOGY

System Default

Index	Tag	Value
1	16R	GENL
2	20C	SEHIS/PG42806Y3A001
3	20G	HEVM
4	98C	PREP/120140423123905
5	16R	LINK
6	13A	LINH/541
7	20C	RELW/E10001279135001
8	16S	LINK
9	16S	GENL
10	16R	TRADDET
11	98A	TRAD/120140423
12	98A	SET/120140423
13	35B	ISMT/DK09000003210
14	30D	DAM/STRECKA+SHABBY NORDEN A/S
15	16S	TRADDET
16	16R	TRAC
17	34B	ESTT/UNIT/325000
18	97A	SAFE/14122000010000778523F
19	97A	CASH/741223000010000724798L
20	94F	SAFE/CUST/10EAD1#0000
21	16S	TRAC
22	16R	SETDET
23	22F	SETR/TRAQ
24	16R	SETPRTY
25	95P	DSAG/ESSED100000
26	16S	SETPRTY
27	16R	SETPRTY
28	95P	SELL/RRVTBEB88001
29	97A	SAFE/105295114373
30	16S	SETPRTY
31	16R	SETPRTY
32	95P	PSET/VPDKD100000X
33	16S	SETPRTY
34	16R	AMT
35	13A	ESTT/104072807381.25
36	98A	VALU/120140423
37	16S	AMT
38	16S	SETDET

CONFIDENTIAL

SWIFT Message Deail

E

Message ID 3114298



SWIFT Information

Msg Type	Direction
545	From Swift
Status	Loc ID
Closed	N/A
Related Ref	Loc SWIFT ID
N/A	N/A
Transaction Ref	P01 MACVGQZ2AX000854274189
PG428D0X2A001	
Asset Series	Curr Series
296755	296755
Reason Code	External ID
N/A	N/A
Trailer Hdr	User Hdr
{MAC:00000000}CHK{FAA61704F69F}	{102 SI#1911902EA000}
Basic Hdr	App Hdr
P01 MACVGQZ2AX000854274189	05450140140429PAR8FRPFCXXXXX225922548140429004DN
Load User	Load Date
SHS/STEM	29-04-2014 01:00:41
Load Port	Proc User
S602207NJBW07	N/A
Proc Date	Proc Port
[NULL]	N/A
Duration	Instruction Date
N/A	N/A
ACK Date	OATS <input type="text"/>
[NULL]	OATS Sent <input type="text"/>
File Name	
058432421TXT	
Memo	

Details (38)

Show Groups

System Default

Index	Tag	Value
1	1 16R	GENL
2	2 20C	:SEMIS/PG428D0X2A001
3	3 23G	NEWM
4	4 98C	PRER/20143428013744
5	5 16R	LINK
6	6 13A	LINK//541
7	7 20C	:RELATE/1000025675801
8	8 16S	SHS
9	9 16S	GENL
10	10 16R	TRADDET
11	11 98A	:TRAD/20140428
12	12 98A	:SET/20140428
13	13 368	ISIN D/0000000000
14	14 000	DAMPSK08SELSKA8ET NORDEN A/S
15	15 16S	TRADDET
16	16 16R	PRC
17	17 368	:SETT/UNIT/085000
18	18 97A	:SAFE/413300010000774026F
19	19 97A	CASH/413300010000774026K
20	20 94F	:SAFE/CUST/INDEADKKKK
21	21 16S	PRC
22	22 16R	SETDET
23	23 22F	:SETR/TRADE
24	24 16R	SETPRTY
25	25 98P	:SEAG/ESSE/K1000X
26	26 16S	SETPRTY
27	27 16R	SETPRTY
28	28 98P	:SILL/PRV18E68XXX
29	29 97A	:SAFE/00896114373
30	30 16S	SETPRTY
31	31 16R	SETPRTY
32	32 98P	:PSET/VPDIKK600XX
33	33 16S	SETPRTY
34	34 16R	AMT
35	35 19A	:SETT/D/0118023167,65
36	36 98A	:VALU/20140428
37	37 16S	AMT
38	38 16S	SETDET ED&F-00076776

CONFIDENTIAL

Release

Modify

Tag Value

ED&F Man Capital Markets Ltd
3 London Bridge Street
London SE1 9SG
United Kingdom

Account Number: CC:RIVERS-ASSOC
Account Name : RIVERSIDE ASSOCIATES DEFINED BE
Date : 24-04-2014
Currency : USD

Cash Summary

Cur Layer	Trade Date	Amount	Conv Rate	TD Reporting Amt (USD)	Settle Date	Amount	Conv Rate	SD Reporting Amt (USD)
DKK Cash	1,022,324,783.48 Dr		0.18535681	189,494,860.65 Dr	950,275,771.20 Dr		0.18535681	176,140,088.57 Dr
EUR Cash	62,554.56 Cr		1.38320000	86,525.47 Cr	62,554.56 Cr		1.38320000	86,525.47 Cr
EUR SEG CASH A	26,719.00 Cr		1.38320000	36,957.72 Cr	26,719.00 Cr		1.38320000	36,957.72 Cr
USD Cash	143,655,471.00 Dr		1.00000000	143,655,471.00 Dr	143,655,471.00 Dr		1.00000000	143,655,471.00 Dr
USD SEG CASH A	146,095.65 Cr		1.00000000	146,095.65 Cr	146,095.65 Cr		1.00000000	146,095.65 Cr
				332,880,752.81 Dr				319,525,977.73 Dr

Trade Date Positions (Equity) (DKK)

Asset	Description	L	Position	Trd Price	Market Price	Original Value	Market Value	Unrealized G/L Cur
DANSKE DC	DANSKE BANK A/S	C	2,000,000.00 Lg	144.2149	153.2000	288,429,840.00 Dr	306,400,000.00 Cr	17,970,160.00 Cr DKK
DNORD DC	D/S NORDEN	C	322,500.00 Lg	223.4078	218.0000	72,049,012.28 Dr	70,305,000.00 Cr	1,744,012.28 Dr DKK
NOVOB DC	NOVO NORDISK A/S-B	C	2,000,000.00 Lg	246.7031	241.6000	493,406,160.00 Dr	483,200,000.00 Cr	10,206,160.00 Dr DKK
TDC DC	TDC A/S	C	2,150,000.00 Lg	52.4007	50.6000	112,661,419.00 Dr	108,790,000.00 Cr	3,871,319.00 Dr DKK
TRYG DC	TRYG A/S	C	175,000.00 Lg	555.0069	510.0000	97,126,216.50 Dr	89,250,000.00 Cr	7,876,214.50 Dr DKK
					Totals:	1,063,672,645.78 Dr	1,057,945,000.00 Cr	5,727,645.78 Dr

Trade Date Positions (Equity) (USD)

Asset	Description	L	Position	Trd Price	Market Price	Original Value	Market Value	Unrealized G/L Cur
UN US	UNILEVER N V -NY SHARES	C	3,644,600.00 Lg	42.2374	41.6700	153,938,309.56 Dr	151,870,482.00 Cr	2,067,827.64 Dr USD
					Totals:	153,938,309.56 Dr	151,870,482.00 Cr	2,067,827.64 Dr

Trade Date Positions (OTC-OPTIONS) (EUR)

Asset	Description	L	Position	Trd Price	Market Price	Original Value	Market Value	Unrealized G/L Cur
SIE GY	SIEMENS AG- REG	C	774.00 Sh	95.8038		74,152.14 Cr	0.00 Cr	0.00 Cr EUR
					Totals:	74,152.14 Cr	0.00 Cr	0.00 Cr

Pending Trades (DKK)

Trade Dt	Settl Dt	Type	Trn	Quantity	Asset	Trd Price	Proceeds	Cur
23-04-14	29-04-14	Normal	BUY	322,500.00	DNORD DC	223.4078	72,049,012.28 Dr	DKK

ED&F Man Capital Markets Ltd
3 London Bridge Street
London SE1 9SG
United Kingdom

Account Equity

Account Number: CC:RIVERS-ASSOC
Account Name : RIVERSIDE ASSOCIATES DEFINED BE
Date : 24-04-2014
Currency : USD

Equity Swaps

Tick	Seq	Trade Dt	Term Dt	Asset Description	Quantity	D	Open Price	Notional	Cur	Market Price	MTM	Next Valuation	Reset	Rate Description	Rate	Accrued Financing
		Set Dt														
246968		14-04-14	23-12-14	TDC DC	2,150,000	S	49.4300	106,274,500	DKK	50.6000	-2,515,500			Fixed	0.0000	0.00
		16-04-14		TDC A/S												
246841		14-04-14	23-12-14	NOVOB DC	2,000,000	S	227.8000	455,600,000	DKK	241.6000	-27,600,000			Fixed	0.0000	0.00
		16-04-14		NOVO NORDISK A/S-B												
246995		14-04-14	23-12-14	TRYG DC	175,000	S	506.5000	88,637,500	DKK	510.0000	-612,500			Fixed	0.0000	0.00
		16-04-14		TRYG A/S												
246927		14-04-14	23-12-14	DANSKE DC	2,000,000	S	148.1000	296,200,000	DKK	153.2000	-10,200,000			Fixed	0.0000	0.00
		16-04-14		DANSKE BANK A/S												
247400		15-04-14	20-05-14	SIE GY	774	L	95.8038	74,152	EUR	97.4900	1,305			Fixed	0.0000	0.00
		15-04-14		SIEMENS AG- REG												
203931		27-12-13	19-12-14	UN US	2,200,000	S	39.4600	86,812,000	USD	41.6700	-4,862,000	19-05-14	Fixed		0.0000	0.00
		27-12-13		UNILEVER N V	-NY SHARES											
203930		27-12-13	19-12-14	UN US	1,444,600	S	39.4600	57,003,916	USD	41.6700	-3,192,566	19-05-14	Fixed		0.0000	0.00
		27-12-13		UNILEVER N V	-NY SHARES											

Account Summary

Item	Amount (USD)
Value of opening currency balance	319,381,813.78 Dr
Value of trades settling today	0.00 Cr
Value of Rec/Del today	0.00 Cr
Value of Deposits/Withdrawals today	144,063.95 Dr
Value of closing currency balance(s)	319,525,977.73 Dr
Cash Collateral Pending Settlement	0.00 Cr
Financed Cash Pending Settlement	0.00 Cr
NonFX Proceeds Pending Settlement	13,354,775.08 Dr
Trade Date Cash Balance	332,880,752.81 Dr
Market Value of Positions	347,967,792.35 Cr
Mkt Val of Unsettled Fin Positions	0.00 Cr
Mkt Val of Settled Fin Positions	0.00 Cr
Mkt Val of Unsettled Fin Collateral	0.00 Cr
Mkt Val of Settled Fin Collateral	0.00 Cr
Net Value of Financing Interest	0.00 Cr
Open Trade Equity on FX Deals	0.00 Cr
Accrued Interest on Fixed Income	0.00 Cr
Value of Open Swap Positions	15,639,044.19 Dr
Preliminary Account Value	552,004.75 Dr

CONFIDENTIAL

ED&F-00076778

ED&F Man Capital Markets Ltd
 3 London Bridge Street
 London SE1 9SG
 United Kingdom

Account Number: CC:RIVERS-ASSOC
 Account Name : RIVERSIDE ASSOCIATES DEFINED BE
 Date : 24-04-2014
 Currency : USD

Margin Summary

Margin Req (Reg-T: Initial)	0.00 Cr
Margin Req (Reg-T: Maintenance)	0.00 Cr
Margin Req (Future Initial)	0.00 Cr
Margin Req (FOREX)	0.00 Cr
Margin Req (Minimum Equity)	0.00 Cr
Margin Req (Total)	0.00 Cr

Total Account Value 552,004.75 Dr

Financing Summary

Unsettled Value of collateral at close	0.00 Cr
Unsettled Financing Valuation	0.00 Cr
Unsettled Financing Excess at close	0.00
Settled Value of collateral at close	0.00 Cr
Settled Financing Valuation	0.00 Cr
Settled Financing Excess at close	0.00
Total Financing Excess at close	0.00

Missing Market Prices

Asset	Our Product	Description
SIE GY	EUR OTC-OP	SIEMENS AG- REG